## **APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)**



## SCCE's Regional Compliance & Ethics Conference January 24, 2020, Costa Mesa, California

## Please leave this application with staff at the Registration Desk or

email: ccb@compliancecertification.org | phone: 952.933.4977 | fax: 952.988.0146

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

| CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F (This CEU type is automatically assessed)  Other   Credit type not already listed.   | FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)   Submit this application within seven days to allow for state reporting, if required.  Individuals MUST sign in/sign out* if required by their |  |  |
|---|--|--|--|
| NASBA/CPE   Individuals MUST sign in/sign out* per NASBA credit requirements.   | state. Verify your CLE requirements with your state.  State & License #  State & License #  State & License #  |  |  |
| *Sign in/sign out sheets are available outside meeting room.  CCB credit and certificate will be posted and available online in your account within two-four weeks.  CLE, NASBA, and Other external credit certificates  will be emailed within four weeks. |  |  |  |
| ★ By signing below, I attest that I HAVE ATTE   | NDED THE SESSION(S ) I indicated on this application:  |  |  |
| Name (PRINT legibly):   | Phone:   |  |  |
| Email Certificate to:   |  |  |  |
| Signatura   | Date   |  |  |

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- ★ ATTENDEES must indicate "<u>Attendee</u>" for attendance below ONLY check sessions attended!
- ★ SPEAKERS must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ NOTE any session time missed if arrived late or left early, excluding restroom breaks.

| Fric | lay, | Janu | uary | 24 |
|------|------|------|------|----|
|      |      |      |      |    |

| ☐ Attendee | ☐ Speaker | 8:30 – 9:30 am (1.0 clock hour or 60 minutes) Recent DOJ Pronouncements on Corporate ComplianceMonitorships       |
|------------|-----------|---|
| ☐ Attendee | ☐ Speaker | 9:45 – 10:45 am (1.0 clock hour or 60 minutes) Operationalizing Compliance: Using Just-in-TimeOrganizational Risk |
| ☐ Attendee | ☐ Speaker | 11:00 am – 12:00 pm (1.0 clock hour or 60 minutes) Knowing What You Don't Know: Questions Boards Should Be Asking |
| ☐ Attendee | ☐ Speaker | 1:00 – 2:00 pm (1.0 clock hour or 60 minutes) The Nuts & Bolts of CCPA Compliance                                 |
| ☐ Attendee | ☐ Speaker | 2:15 – 3:15 pm (1.0 clock hour or 60 minutes) White Collar Criminals & Compliance: Lessons from Prison            |
| ☐ Attendee | ☐ Speaker | 3:30 – 4:30 pm (1.0 clock hour or 60 minutes) Maximizing Your Compliance Journey                                  |
|            |           |   |
|            |           |   |

Print Name: \_\_\_\_\_