


# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



## SCCE's Regional Compliance & Ethics Conference December 6, 2019, Philadelphia, Pennsylvania

Please leave this application with staff at the Registration Desk or  
email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | phone: 952.933.4977 | fax: 952.988.0146

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

<input type="checkbox"/>  <b>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F</b> <i>(This CEU type is automatically assessed)</i>	<input type="checkbox"/> <b>FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)</b>   Submit this application <u>within seven days</u> to allow for state reporting, if required.
<input type="checkbox"/> <b>Other</b>   Credit type not already listed. _____	Individuals <b>MUST</b> sign in/sign out* if required by their state. Verify your CLE requirements with your state.
<input type="checkbox"/> <b>NASBA/CPE</b>   Individuals <b>MUST</b> sign in/sign out* per NASBA credit requirements.	<b>State &amp; License #</b> _____ <b>State &amp; License #</b> _____ <b>State &amp; License #</b> _____

**\*Sign in/sign out sheets are available outside meeting room.**

**CCB** credit and certificate will be posted and available online in your account within two-four weeks.

**CLE, NASBA, and Other** external credit certificates will be emailed within four weeks.

★ **By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:**

Name (PRINT legibly): \_\_\_\_\_ Phone: \_\_\_\_\_

Email Certificate to: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if arrived late or left early, excluding restroom breaks.

## Friday, December 6

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- |                                   |                                  |  |
|-----------------------------------|----------------------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>8:30 – 9:30 am (1.0 clock hour or 60 minutes)</b><br>Ethics and AI  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>9:45 – 10:45 am (1.0 clock hour or 60 minutes)</b><br>Theory vs Reality in Your Policies and Procedures...Aspirational Compliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>11:00 am – 12:00 pm (1.0 clock hour or 60 minutes)</b><br>Advancing Compliance Using Analytics in the Audit Function              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>1:00 – 2:00 pm (1.0 clock hour or 60 minutes)</b><br>Supporting Ethical Decisions for Yourself and Your Staff                     |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>2:15 – 3:15 pm (1.0 clock hour or 60 minutes)</b><br>Using Root Cause to Enhance Your Compliance and Ethics Process               |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>3:30 – 4:30 pm (1.0 clock hour or 60 minutes)</b><br>Managing Corrective Actions  |

Print Name: \_\_\_\_\_