PROTECTIONS AGAINST TRAFFICKING IN PERSONS
Sample Benchmarks of Good Practice in Recruitment and Employment

Benchmarks based on company policy that define good practices can be used to evaluate the labor and human rights performance of suppliers and labor agents. The set of sample benchmarks below is consistent with the sample Code of Conduct provisions listed in Tool 1 and supports policy development covering labor recruiters as a key player in global supply chains. These benchmarks can serve also as a basis for establishing and monitoring key performance indicators, but are not intended to ensure compliance with specific legal requirements, such as Federal Acquisition Regulation (FAR).

HUMAN TRAFFICKING

Company policies and practices express a clear prohibition of, forced, compulsory, bonded, or indentured labor that applies to all entities in its supply chain, including those involved in the recruitment, selection, and hiring of workers. Company policies and practices also express a clear prohibition of sex trafficking and procurement of commercial sex.

- Clear and transparent human resource practices on recruitment, contracts, wages and working hours are adopted by the company and its subcontractors to minimize the risk of human trafficking.
- The company, and suppliers, subcontractors or agents acting on its behalf, comply with all relevant local laws and regulations, including those concerning the prohibition of forced labor and human trafficking in each jurisdiction in which they operate.
- The workplace is free of any form of, forced, compulsory, bonded, or indentured labor, or involuntary prison labor.
- Workers enter into employment freely and employment terms and conditions are agreed to voluntarily, without deception or threat of penalty.
- All work is voluntary.
- Workers have the freedom to terminate their employment at any time without penalty, given notice of reasonable length (not to exceed 30 days).

RECRUITMENT FEES AND TRANSPORTATION EXPENSES

The company has a written policy declaring that workers shall not pay any amount to secure a job with the company or with a supplier or subcontractor.

- The job advertisements from the company and its subcontractors and suppliers include the statement that no fees shall be charged at any phase in the recruitment and hiring process.
• The employer covers all recruitment and processing fees, costs, and expenses, including those associated with securing identity cards, medical certificates and examinations, skills testing, and travel from the worker’s home to the location of employment.
• The employer fully covers the cost of return transportation to the worker’s country of origin upon completion of their employment contract.
• The company has mechanisms to continuously monitor and ensure that workers are not charged any amount to secure a job.
• The company only engages recruiters, agents, and sub-agents who do not charge fees to jobseekers.
• The company, or subcontractors acting on its behalf, has means of informing jobseekers at the point of recruitment that workers do not pay fees or costs for any services directly related to obtaining employment.
• The company or receiving country recruiter has a verification process to ensure that workers are not charged any fees.
• Workers found to have been charged fees are repaid, and contracts with recruiters found to have charged fees are terminated.

**CONTRACTS OF EMPLOYMENT**

• All candidates are clearly informed of the terms and conditions of employment, and successful candidates are provided a copy of the contract at least five days prior to deployment.
• All workers are provided a signed copy of their original contract, in their native language, at least five days prior to deployment.
• The company explains the terms and conditions of the contract in the workers’ native language, and has means to verify that the terms are clearly understood and fully agreed to by the workers.
• Employment contracts clearly specify the rights and responsibilities of workers with regard to wages, benefits, hours of work, including regular hours and overtime requirements, days off and annual leave, location of the work, living conditions, housing arrangements, work related hazards, and disciplinary and other procedures that can lead to termination, as well as appeal procedures and grievance mechanisms consistent with applicable law.
• The details of working conditions described at the point of recruitment are consistent with the details contained in the employment contract at the time of hiring, and with actual job conditions and responsibilities.
• Company policy prohibits the substitution of original contract provisions with those that are less favorable to the worker. Any amendments made to improve conditions are made with the knowledge and the informed, written consent of the worker.
• Changes to working conditions are made with the knowledge and consent of the worker. Consent is obtained voluntarily and without the threat of penalty. No changes are made that in any way diminish the worker’s originally anticipated wages, benefits, or other conditions of work; or place the worker in a position of physical or mental risk or peril, or other form of disadvantage or vulnerability.
• Employment contracts clearly stipulate the rights and responsibilities of each party regarding the termination of employment.
• Employment contracts clearly specify the circumstances in which a worker can terminate his or her contract without penalty, given reasonable notice, and in accordance with national law. The required notice period for workers to terminate their
contracts early shall not exceed one month or as specified by local law, whichever is shortest.

• The notice period shall be waived in situations where the worker has suffered harassment or abuse, or is a victim of trafficking in persons. In such cases the employer shall also be responsible for paying the cost of return transportation for the affected worker.

**DOCUMENT RETENTION**

• Applicants’ or workers’ identity or immigration documents, including passports, residency or work permits, or other personal documents, such as bank books or automatic teller machine (ATM) cards, are destroyed, concealed, confiscated, or otherwise denied access by the worker, for any reason.

• In the event that personal documents are held by the employer or subcontractor due to legal requirements, these are immediately returned to the worker upon demand and without any preconditions. In such circumstances, the employer or subcontractor:
  ✓ Provides workers with an exact copy of personal documentation when not in their possession;
  ✓ Develops written policies and procedures to prevent abuse;
  ✓ Nominates a responsible person to ensure workers have unhindered access to their documents upon demand; and
  ✓ Notifies workers of these procedures.

• Lengthy or otherwise burdensome prerequisites for accessing one’s passport or other personal documents, such as written requests, monetary deposits or waiting periods, are strictly prohibited.

• Workers are provided with individual locked, secure storage space for personal documents and valuables at both their employer-provided accommodation and workplace.

**DEPOSITS**

• Workers are not required to post a bond at the time of recruitment or at any other time during the employment relationship.

• The company policies strictly prohibit the collection of monetary deposits or security payments.

• The company, or subcontractor acting on its behalf, withholds portions of workers’ pay only where it is legally required.

• In those cases where migrant workers have opted to participate in voluntary savings schemes facilitated by their employer, such workers have unhindered access to and full control over all savings and monies owed.

**HUMANE TREATMENT**

• Company policies and procedures prohibit sanctions that impose work or wage deductions, or reductions in benefits as a means to discipline workers.

• No form of compulsory labor is imposed on any worker.

• The policy of the company, or subcontractor acting on its behalf, strictly prohibits the use or threat of physical or sexual violence, harassment and intimidation, and senior management has expressed clear commitment to this policy.
• Effective measures are in place to implement, monitor, and ensure company-wide compliance with this policy.
• There is no evidence of complaints, grievances, or actions taken against the company, its managers or supervisors, or its subcontractors, suppliers and agents, with respect to violence, intimidation, or harassment in the workplace. In cases where a complaint or grievance has been raised, evidence should show that swift and transparent action was taken to address it.

WORKPLACE EQUALITY

• All workers, regardless of their national origin, ethnicity, race, or legal status, are treated equally in the workplace.
• Migrant workers are treated no less favorably than country nationals with respect to:
  ✓ Remuneration, hours of work, overtime arrangements, and holidays with pay;
  ✓ Access to training;
  ✓ Eligibility for promotion and wage increases;
  ✓ Membership in labor unions or other worker organizations;
  ✓ Accommodation; and
  ✓ Benefits and social insurance, including social security, maternity and sick leave, disability and employment injury insurance.
• The company, or subcontractors acting on its behalf, explicitly prohibits the abuse of vulnerability of migrant workers, including the threat of denunciation to authorities as a means of coercion.

WAGES AND BENEFITS

• The freedom of workers to dispose of their wages as they choose is not limited in any way.
• Wages specified in employment contracts meet legal minimum wage. In the absence of a legal minimum wage, wages are at least the industry prevailing wage for similar work in the immediate area in the country of employment, and wage calculations are equitable and objective.
• Wage payments are not deferred, delayed, or withheld as a means of binding workers to employment.
• Non-cash or “in-kind” payments in lieu of wages are not used.
• Wage rates and payments are calculated with full transparency.
• The calculation and payment of wages, including legal wage deductions, are clearly explained to and understood by all workers.
• No unlawful or unauthorized deductions are made from workers’ wages. Any deductions from wages are clearly described in the employment contract and undertaken with written worker consent.
• Wage deductions levied for tardiness or absence are not excessive and do not exceed the time missed on the job, for example 10 minutes worth of pay for 10 minutes tardiness.
• Wage advances or loans provided to workers, along with related interest rates, comply with the law, at a minimum. Additionally:
  ✓ Interest rates and repayment terms are fair. Payments do not exceed 10 percent of a worker’s monthly wage,
such that loans can be repaid in a reasonable amount of time not exceeding six months;
✓ The calculation of interest rates for loans and advances, and wage deductions made for their repayment are made with complete transparency to the worker;
✓ Interest rates do not exceed the local prevailing local market bank rates;
✓ The terms of wage advances and their repayment are agreed to by both parties in advance and in written form.

• Jobseekers, applicants or workers are not required to participate in any form of forced or mandatory savings in order to recoup costs associated with recruitment or other services.
• If migrant workers pay for employer provided or arranged housing and food, such charges:
  ✓ Do not exceed market rates or the rate paid by local workers;
  ✓ Do not aid companies or subcontractors in earning a profit at workers’ expense; and
  ✓ Are not part of efforts to reclaim placement fees or other fees paid by employers for recruiting workers.

WORKING HOURS

• Workers are not forced to work more than the number of hours allowed by national law, or, where the law is silent, working hours do not exceed eight per day and 48 per week, and total working hours including overtime does not exceed 60 hours in a week.
• Effective workplace and company-wide measures are adopted to ensure full implementation and compliance with this policy, including continuous monitoring of hours worked to identify workers at risk of exceeding the limit, coordination of production and manpower planning, etc.
• All overtime work is purely voluntary, unless specifically stated otherwise in a legally recognized collective bargaining agreement.
• Workers track their own working hours using an electronic or other objective, verifiable timekeeping system.
• Workers are free to refuse overtime work without threat or fear of punishment or reprisal.
• For those that agree to work overtime, a system is in place to obtain their written consent at the time of the request.
• In cases where remuneration is based on productivity targets, for example piece rate, workers are not forced to work more overtime hours than allowed by national law in order to achieve their production quota.

FREEDOM OF MOVEMENT AND PERSONAL FREEDOM

• Workers enjoy reasonable freedom of movement within their work and living environments. Any legitimate constraints on off premises movement (for example, for valid personal safety or security concerns) are specified prior to the signing of the employment contract.
• Workers have unrestricted access to basic necessities (drinking water, toilets) during both work and non-work hours.
• The presence of security personnel or other security measures in the workplace or employer or recruiter-provided or arranged residences are not used as a means to restrict worker freedom of movement.
• No other coercive means are used to restrict migrant workers’ freedom of movement or personal freedom, including confis-
culation of personal documents, levying of financial penalties or security deposits, or use of deception in wage payment and deductions.

- Workers are not required to live in employer- or recruiter-operated residences as a condition of employment, unless required by applicable law.
- Migrant workers are free to return to their home country during paid leave, without any penalty, or threat of termination.

**GRIEVANCE PROCEDURES**

- Supplier and recruiter policy and practice reveals a functioning and effective grievance procedure available to workers in their native language.
- Effective measures are in place to ensure that migrant workers know how to use this procedure and feel safe and free from potential retaliation if doing so.
- Where grievances are raised, effective and appropriate procedures are in place to ensure a rapid and mutually agreed settlement.
- Resolution of workplace grievances are regularly reported back to all workers.
- Results of worker surveys and interviews confirm that workers both understand the means available to them to report grievances and that they trust the process to fairly address their issues.
- An effective appeals process is in place to impartially evaluate any disputed grievance resolution.

**PRIVATE EMPLOYMENT AGENCIES AND LABOR RECRUITERS**

- The company and its suppliers hire workers directly whenever possible.
- When the recruitment, selection, and hiring of workers is undertaken by a private employment agency or other third-party, suppliers shall have the responsibility to ensure that the agencies operate legally, are certified or licensed by the competent authority, use only employees trained on applicable Code and legal requirements, and do not charge recruitment fees or engage in fraudulent behavior that places workers at risk of trafficking for labor exploitation.
- The company signs a formal contract with the subcontracted agency and performs regular due diligence on the agency and its partners involved in the hiring and placement of workers.
- Effective measures are established by the company to ensure the legal compliance of sub-contractors in each jurisdiction in which they operate.
- The subcontracted agency has an up-to-date license or permit to operate in all countries of operation, and any partners or agents working on its behalf also have up-to-date permits or licenses.
- The subcontracted agency has not been cited, suspended, or otherwise sanctioned for non-compliance with any laws in any country of operation; or, where a citation for non-compliance exists, the agency can demonstrate that it has rectified the non-compliance.
- The company has mechanisms to monitor the performance of agents and recruiters to ensure that no deception, fraud and/or coercion in the recruitment, placement, transport or management of migrant workers take place.
The company, or subcontractors acting on its behalf, provides jobseekers with accurate details of working conditions at the
time of recruitment, including the nature of work, wages, benefits and duration of contract.
Details of working and employment conditions are communicated to jobseekers in a language they understand.
False promises are not made to applicants or workers regarding employment conditions, job locations, employment or
contract duration, or anticipated earnings.
The subcontracted agency has an implementing structure, an accountable officer, and clear procedures to ensure that all
policies are compliant with all relevant legislation and regulations. This includes a current registry of laws for all countries of
operation; and a mechanism that ensures the company is regularly informed of new legislation/regulations or changes in
legislation/regulations.
The subcontracted agency has a code of conduct that prohibits human trafficking and sets out protective measures for
workers. The code covers principles elaborated in relevant ILO Conventions and the Code of Conduct of the International
Confederation of Private Employment Agencies (CIEPT), and applies to all parties in the chain of recruitment. The code is
supported by an institutional structure that ensures its effective implementation.
The subcontracted agency has established a mechanism for confidential reporting of noncompliance, a grievance mecha-
nism, procedures of investigation and reporting on grievances, and protection for whistleblowers.
The subcontracted agency has established effective remediation procedures in case of verified reports of noncompliance,
including mechanisms to ensure the reimbursement of workers for any recruitment fees paid.
The company, or subcontractors acting on its behalf, does not use any means to restrict migrant workers’ freedom to
terminate employment, for example by levying excessive recruitment fees, requiring deposits, or withholding personal
documentation.

EMPLOYEE AWARENESS AND TRAINING

All written information and training is provided in the native language of the workers.
Workers are made aware of their rights and responsibilities, both verbally and in writing, at the time of hire including the
provisions of this Code and all applicable laws and regulations of their home country, the country where the work is
performed, and of any country and jurisdiction contracting the work.
Pre-departure orientation is conducted to review contractual obligations, terms and conditions of work and other matters
including but not limited to living conditions, company policies, and the grievance mechanisms in place for workers.
Workers are trained upon arrival in the receiving country on the company’s workplace rules and procedures, the grievance
process, the housing arrangements (if provided or arranged by the company), and the conditions of work, including any
health and safety hazards and the precautions needed to ensure personal safety.
The company evaluates the effectiveness of its training and awareness efforts by measuring employee knowledge upon
completion of training and periodically thereafter using surveys, interviews and other means.