

# Building a Culture of Compliance and Trust

THE UNIVERSITY OF  
**ALABAMA**<sup>®</sup>

*Division of*  
Finance and Operations  
Compliance, Ethics, and Regulatory Affairs

PART OF THE  **University of Alabama System**

# Introductions

## Dr. Marcy Huey

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Policy Management,  
Compliance Training,  
and Communications

# Poll

How long does it typically take to change organizational culture?

- a. Less than a year
- b. 1-2 years
- c. 3-4 years
- d. 5 or more years

Let's talk about  
culture.

# Poll

Do you feel that your campus fully trusts the Compliance department?

- a. Absolutely
- b. On some issues
- c. Not really
- d. I don't know

How do you earn  
trust?

# Poll

Which is harder?

- a. Building new relationships
- b. Maintaining existing relationships
- c. Rebuilding relationships after a breach of trust

How do you keep  
their trust?



# Poll

Which types of changes has your organization experienced in the past year?

- a. New leadership
- b. Reorganization
- c. Changes in regs or policies
- d. Leadership decisions contrary to status quo
- e. A combination of the above!

Everything changes.

Thank you!

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