APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

HCCA's Research Compliance Conference
SCCE's Higher Education Compliance Conference
June 8 -10, 2022 | Anaheim, CA

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)®:
email: ccb@compliancecertification.org | **phone:** 952.988.0141 | **fax:** 952.988.0146

CHC, CHRC, CHPC, CHC-F,
CCEP, CCEP-I, CCEP-F | 50-minute hour
(Upon receipt of this completed application, this CEU type will be assessed)

☐ **AHIMA** | 60-minute hour
HCCA's Research Conference Sessions Only

☐ **ACHE** | 60-minute hour
HCCA's Research Conference Sessions Only

☐ **RN – CA Board of Registered Nursing**
HCCA's Research Conference Sessions Only

State/License #_____

☐ **NASBA/CPE** | Individuals **MUST** participate in the active attendance monitoring.

☐ **FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)** | Certain sessions may qualify for CLE credits. Submit this application within seven days to allow for state reporting, if required.

Individuals **MUST** sign in/sign out* if required by their state. Verify your CLE requirements with your state.

State & license #_____

State & license #_____

State & license #_____

☐ **Other** | Credit type not already listed.

_____

*Sign-in/sign-out sheets are available inside the meeting room.

CCB, AHIMA, and ACHE: credits and certificate will be posted and available online in your account within four weeks.

CLE, NASBA, RN, and Other external credit certificates will be emailed within four weeks.

★ *By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:*

Print your name:_____ Phone:_____

Email:_____

Signature:_____ Date:_____

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★ **SPEAKERS must indicate *"Speaker"* for sessions presented and *"Attendee"* for sessions attended.**
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Wednesday, June 8

8:00 – 9:30 AM PDT (1.5 clock hours or 90 minutes)
- ☐ **Attendee** ☐ *Speaker* | RP1 It's Not Research, It's Quality Improvement
- ☐ **Attendee** ☐ *Speaker* | RP2 Oh My! The Unique Challenges of Monitoring Investigator Initiated…
- ☐ **Attendee** ☐ *Speaker* | HEP1 Workshop for New Higher Education Compliance Programs…
- ☐ **Attendee** ☐ *Speaker* | HEP2 Foreign Gifts and Contract Reporting: Section 117 and Beyond

9:45–11:15 AM PDT (1.5 clock hours or 90 minutes)
- ☐ **Attendee** ☐ *Speaker* | RP3 Managing Conflict of Interest Risk in Academic Medical Centers…
- ☐ **Attendee** ☐ *Speaker* | RP4 Sponsor Clinical Quality Assurance Audits of Investigator Sites…
- ☐ **Attendee** ☐ *Speaker* | HEP3 Recent Disability Compliance Issues: The ADA, FHA, COVID…
- ☐ **Attendee** ☐ *Speaker* | HEP4 Raise Your Game, Not Your Voice: How Listening, Communicating…

12:45–2:15 PM PDT (1.5 clock hours or 90 minutes)
- ☐ **Attendee** ☐ *Speaker* | RP5 Building an Effective Export Control Program within an Evolving…
- ☐ **Attendee** ☐ *Speaker* | RP6 Best Practice Approaches to Building an Effective Research Billing…
- ☐ **Attendee** ☐ *Speaker* | HEP5 Clery Act Compliance: A Collaborative Approach
- ☐ **Attendee** ☐ *Speaker* | HEP6 How to Eat an Elephant: Big Compliance, Small Program

2:30 – 4:00 PM PDT (1.5 clock hours or 90 minutes)
- ☐ **Attendee** ☐ *Speaker* | RP7 Clinical Trials in a Virtual World: A Case Study Analysis of Compliance…
- ☐ **Attendee** ☐ *Speaker* | RP8 Hot FDA Compliance Issues for 2022
- ☐ **Attendee** ☐ *Speaker* | HEP7 CMMC Should Scare You: Latest Developments and How to Prepare
- ☐ **Attendee** ☐ *Speaker* | HEP8 Compliance Officers Roundtable

Thursday, June 9

8:15 – 9:15 AM PDT (1.0 clock hour or 60 minutes)
- ☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: Research Year in Review
- ☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: Higher Education Compliance: 2021–2022 Year in Review

9:45 – 10:45 AM PDT (1.0 clock hour or 60 minutes)
- ☐ **Attendee** ☐ *Speaker* | R101 Research Billing Compliance: A Case Study at Renown Health Using…
- ☐ **Attendee** ☐ *Speaker* | R102 Use of Data from Non-Traditional Sources to Conduct Human Research…
- ☐ **Attendee** ☐ *Speaker* | HE101 Ethics Training They'll Volunteer to Take
- ☐ **Attendee** ☐ *Speaker* | HE102 Compliance: Whose Job Is It?

NAME (Print):_____

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11:00 AM –12:00 PM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R103 How to Get (More) Bang for Your Buck! Developing & Executing Good…

☐ **Attendee** ☐ *Speaker* | R104 Handling Multi-Faceted Investigations Related to Research

☐ **Attendee** ☐ *Speaker* | HE103 How to Operationalize Enterprise Risk Management in an Increasingly…

☐ **Attendee** ☐ *Speaker* | HE104 How to Evolve Your Compliance Program with an Update to Your Framework

1:00 – 2:00 PM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R105 A Hidden Minefield? Navigating Legal and Compliance Risks in Research…

☐ **Attendee** ☐ *Speaker* | R106 Complexities of Data Sharing with External Researchers

☐ **Attendee** ☐ *Speaker* | HE105 Adding Privacy Questions to Your Vendor Security Assessment Process…

☐ **Attendee** ☐ *Speaker* | HE106 Beyond the Background Check

2:15 – 3:15 PM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R107 Instituting Improvement and Quality Assurance in an Animal Care…

☐ **Attendee** ☐ *Speaker* | R108 Increasing Diversity in Clinical Research

☐ **Attendee** ☐ *Speaker* | HE107 Privacy Law Update

☐ **Attendee** ☐ *Speaker* | HE108 Investigation and Compliance Missteps

3:30 – 4:30 PM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R109 CMS Open Payments Expansion: Impacts to Research Conflicts of Interest

☐ **Attendee** ☐ *Speaker* | R110 It's Not You, It's Me: Building and Maintaining Trust between Research…

☐ **Attendee** ☐ *Speaker* | HE109 Implementing an Effective Compliance Assessment Framework

☐ **Attendee** ☐ *Speaker* | HE110 Policy Transformation at UNTHSC

Friday, June 10

8:00 – 9:00 AM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: OHRP Compliance Update: Hot Topics

☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: Compliance in the Era of Distributed Workforces

9:30 – 10:30 AM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R111 Managing COI Compliance: The Importance of Disclosures in Publications…

☐ **Attendee** ☐ *Speaker* | R112 Data Use in Research: Limitations, Obligations, Myths, and Mysteries

☐ **Attendee** ☐ *Speaker* | HE111 When Privacy Needs Transparency: Responding to Data Threats

☐ **Attendee** ☐ *Speaker* | HE112 Title IX: The Past, Present, and Future of Campus Investigations…

10:45 – 11:45 AM PDT (1.0 clock hour or 60 minutes)

☐ **Attendee** ☐ *Speaker* | R113 Expanding the Plan: Integrating Research Compliance Risk Assessments

☐ **Attendee** ☐ *Speaker* | R114 NIST Cybersecurity Framework = A Credible & Prescriptive Standard

☐ **Attendee** ☐ *Speaker* | HE113 Foreign Influence: Practical Approaches Toward Converting Issue…

☐ **Attendee** ☐ *Speaker* | 114 The Really Ugly Duckling and Other Stories to Ensure Your Training Doesn't…

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12:45 – 1:45 PM PDT (1.0 clock hour or 60 minutes)
☐ **Attendee** ☐ *Speaker* | R115 Point of Care Training for Research Coordinators
☐ **Attendee** ☐ *Speaker* | R116 Optimizing a Conflict of Interest, Conflict of Commitment, and External…
☐ **Attendee** ☐ *Speaker* | HE115 Conducting Effective Organizational Title IX Compliance Assessments…
☐ **Attendee** ☐ *Speaker* | HE116 Flip! The Winchester House and Improving Our Compliance Programs

2:00 – 3:00 PM PDT (1.0 clock hour or 60 minutes)
☐ **Attendee** ☐ *Speaker* | R117 21st Century Cures Act: What Is It and How to Operationalize It
☐ **Attendee** ☐ *Speaker* | R118 Sponsor-Investigators of Medical Device Studies: Creating Front-Line
☐ **Attendee** ☐ *Speaker* | HE117 Compliance Risk Assessments: It Doesn't Have to Be Risky Business
☐ **Attendee** ☐ *Speaker* | HE118 Houston, We Have a Conflict. Now, How Do We Manage It?

3:15 – 4:15 PM PDT (1.0 clock hour or 60 minutes)
☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: Navigating the Research Fraud and Abuse and Billing Rules
☐ **Attendee** ☐ *Speaker* | GENERAL SESSION: DE&I, Compliance, and Higher Education

NAME (Print):_____