

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)




HCCA's Research Compliance Conference
SCCE's Higher Education Compliance Conference
June 1 - 3, 2020 | Virtual

Please email or fax this form following the conclusion of the conference.

email: ccb@compliancecertification.org | fax: 952.988.0146

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F 50-minute hour <i>CCB CEUs will automatically be accessed.</i></p> <p><input type="checkbox"/> AHIMA 60-minute hour <i>HCCA's Research Conference Sessions Only</i></p> <p><input type="checkbox"/> ACHE 60-minute hour <i>HCCA's Research Conference Sessions Only</i></p> <p><input type="checkbox"/> RN – CA Board of Registered Nursing <i>HCCA's Research Conference Sessions Only</i></p> <p>State/License # _____</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Verify your CLE requirements with your state.</p> <p>State/License # _____</p> <p>State/License # _____</p> <p>State/License # _____</p> <p><input type="checkbox"/> Other Credit type not already listed.</p> <p>_____</p>
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ACTIVE ATTENDANCE MONITORING WILL BE CONDUCTED DURING THIS VIRTUAL CONFERENCE

CCB, AHIMA, and ACHE: credits and certificate will be posted and available online in your account within four weeks.

CLE, RN, and Other external credit certificates will be emailed within four weeks.

★ **By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:**

Name (PRINT legibly): _____ Phone: _____

Email Certificate to: _____

Signature: _____ Date: _____

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

- ★ **ATTENDEES** must indicate “Attendee” for attendance below – **ONLY** check sessions attended!
- ★ **SPEAKERS** must indicate “Speaker” for sessions presented and “Attendee” for sessions attended.
- ★ **NOTE** any session time missed if you logged in late or logged out early

MONDAY, JUNE 1 | CONFERENCE

09:00 AM – 10:00 AM CDT (1.0 clock hour or 60 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | Research Compliance General Session: Research Year in Review |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | Higher Education General Session: 2019-20 Year in Review |

10:15 AM – 11:15 AM CDT (1.0 clock hour or 60 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R2 OCR Audits: Past, Present, and Future Considerations for Privacy and Security |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R3 Stop! Or Else I'll Say Stop Again! Institutional Responses to COI Noncompliance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H2 Imagineering a University-Wide Conflict of Interest Commitment...Possible |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H3 Compliance Risk Reviews: Developing...Compliance Monitoring Process |

11:30 AM – 12:30 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R4 The Civil Monetary Penalties Law and the Role of HHS-OIG...and Enforcement |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R5 Managing Compliance...Community Oncology Research Program NCORP Site? |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H4 Investigations — The Who, The How...to Effectively Navigate an Investigation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H5 Effective Compliance Responses to Covid-19...A Facilitated Discussion |

01:15 PM – 02:15 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R6 The Pathway of Part 2 Data in Research...Covered Entities, and IRBs, Oh My! |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R7 Responsible Conduct: Collaborating on RCR Training |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H6 Awareness, Training, and Development...Approach to Compliance Education |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H7 Sports Wagering and Intercollegiate Athletics |

02:30 PM – 03:30 PM CDT (1.0 clock hour or 60 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R8 Compliance and Culture...and Approach Can Help Support Clinical Trial Billing |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R9 How to Overcome the Challenges...Research in a Skilled Nursing Facility |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H8 A Journey...Case Studies, Lessons Learned, and How to Mitigate Being a Victim |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H9 Fraud in Higher Education Institutions: It Only Happens at Other Schools |

03:45 PM – 04:45 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | General Session: Preventing Grant Fraud, Waste and Abuse |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H10 Foreign Influence: Mitigating Risk through Strong Policies and Processes |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H11 Operationalizing Privacy and Data Security Compliance in Higher Education |

NAME (Print): _____

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TUESDAY, JUNE 2 | CONFERENCE continued...

09:00 AM – 10:00 AM CDT (1.0 clock hour or 60 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | General Session: Difficult Case Scenarios in Clinical Research Billing |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | General Session: What do Starbucks Coffee...Embed It Within the Operations |

10:15 AM – 11:15 AM CDT (1.0 clock hour or 60 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R13 CRR: Clinical Research Revenue Cycle Management: Avoiding the Pitfalls |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R14 The Benefit of Collaboration...Units: Enhancing Compliance at Your Institution |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H13 Centralizing University Policy Approval and Management |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H14 Who’s Afraid of Internal Controls...Compliance Programs More Effective |

11:30 AM – 12:30 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R15 A Compliance Officer’s Research Data Nightmare and How to Wake Up From It |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R16 Right to Try Laws vs. FDA Expanded Access...Know and What You Need to Do |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H15 High Anxiety—The Drug Free Schools and Communities...of Marijuana |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H16 Investigations Infrastructure and Corrective Actions |

01:15 PM – 02:15 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R17 Protecting Research Participants Financially...When Patients Lack CENTS |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R18 In Unity Is Strength: Research Compliance Through Leadership |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H17 Dealing with the F Word: Foreign Gifts and Contracts |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H18 Harmonizing the Compliance...and Enterprise Risk Management Risk Functions |

02:30 PM – 03:30 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R19 Dealing with Data...Thoughts Concerning Data Security and Management |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R20 When is it Research and When is it Not...and Medical Device Improvement |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H19 Building and Sustaining a Culture of Compliance and Ethics...Training |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H20 Complying with the Shifting Title IX Landscape |

03:45 PM – 04:45 PM CDT (1.0 clock hour or 60 minutes)

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|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | General Session: “Research Compliance During the Pandemic” – A Round Table |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | General Session: Foreign Influences on Research Integrity...Research Environment |

NAME (Print): _____

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WEDNESDAY, JUNE 3 | CONFERENCE continued...

09:00 AM – 10:30 AM CDT (1.5 clock hours or 90 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R22 Conflict of Interest 101: Identifying, Managing, and Preventing Research Risk |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R23 Supplementing Traditional Research Compliance Monitoring...Surveillance |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H22 HIPAA and Hybrid Entity Status: Why Most Universities Get It Wrong |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H23 Free Speech and Protests on Campus...to Protect Reputation and Safety |

10:45 AM – 12:15 PM CDT (1.5 clock hours or 90 minutes)

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|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R24 The Laws of the Jungle: An Introduction to the Regulation of Animal Research |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R25 Research Stepchildren...Expanded Access to Investigational Medical Products |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H24 Export Controls and International Research |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H25 Institution-wide Compliance Assessment:What It Is and Why You Need to Do It |

01:00 PM – 02:30 PM CDT (1.5 clock hours or 90 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R26 “Wish I Had Known That a Year Ago”...Misconduct Inquiry and Investigation |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R27 Let’s Talk About It...of the Changes from the Revised Human Subject Rules |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H26 Financial Risk Monitoring in Higher Education: New Compliance Challenges |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H27 Artificial Intelligence and Proactive Compliance |

02:45 PM – 04:15 PM CDT (1.5 clock hours or 90 minutes)

- | | | | |
|-----------------------------------|----------------------------------|--------------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 3 | R28 Noncompliance in Animal Research Oversight |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | HCCA Room 4 | R29 Demonstrating Good Clinical Practice (GCP)...of Regulatory Documents |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 1 | H28 Developing and Utilizing a HECA Model...Obligations on Your Campus |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | SCCE Room 2 | H29 Compliance Officers Roundtable |

NAME (Print): _____