Artificial Intelligence and Proactive Compliance
Investigations in Higher Education

Presentation Overview

Compliance in Higher Education
Artificial Intelligence and Compliance
Company Engagement during an Investigation
Artificial Intelligence and Privacy
Operation Varsity Blues: College Entrance Cheating and Bribery Scandal

50 people charged

Parents paid $25 million total

Cheating on entrance exams and bogus applications for athletics profiles

Scheme lasted nearly a decade

Yale University, Georgetown University, Stanford University, UCLA, University of Southern California, Texas and Wake Forest

Evidence?

63. Three days later, $50,000 was wired from a brokerage account in the name of the Abbott Family Foundation to a bank account in the name of the KWF charity. That same day, GREGORY ABBOTT left CW-1 a voicemail stating, in substance, that he had sent the wire.

155. In or about the summer of 2017, HUFFMAN and CW-1 exchanged multiple e-mails about how to obtain 100 percent extra time on the SAT for her daughter.

172. Throughout the spring and summer of 2017, CW-1 and KLAPPER exchanged e-mails about getting extra time on the ACT and SAT exams for her son. As an example, on or about June 10, 2017, KLAPPER forwarded to CW-1 a letter from the College Board that granted her son 50 percent extra time. KLAPPER wrote: “Another failed attempt at 100%. We have it for ACT. What should we do? Do these accommodations mean alternate location? Still debating our conversations too.” CW-1 replied: “As long as you have ACT with 100 percent time we can take the test at an alternate site.”
Higher Education challenges in compliance:

- Globalization
- Regulatory environment
- Issues
  - Corporate Governance
  - Frauds
  - Anti corruption
  - Copyright
  - Safety in campus (criminal prosecution)
  - Integrity
  - Data Protection

Online training programs have benefited from the
introduction of AI, which can offer personalized
learning experiences, real-time feedback, and
enhanced accessibility.

Artificial Intelligence

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Artificial Intelligence

Why is Data Science relevant for compliance:
business processes

Software development / Legal Concerns

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AI’s impact in the Investigative Strategy

- CORPORATE ENVIRONMENT
  - Subject matters experts
  - Technology
  - Build process to manage large amounts of data

- CORPORATE ENGAGEMENT
  - Data manipulation
  - Data privacy

Corporate Investigations

- SCOPE
  - Administration and Students
  - Legal requirements
  - Internal teams

- COMPLIANCE
  - Corporate Standards
  - Regulatory Standards
  - Compliance Officer
Privacy, AI and investigations in Educational Institutions

- Audit and monitoring processes of departments
- Data Bases management
- Employee Policies
- Trainings
- Digital footprints of students/staff
  - Data protection protocols
  - Aaron Swartz case
Privacy, AI and investigations in Educational Institutions

- THE VARSITY BLUES TAKEOUTS
  - Learning Disabilities
  - Athlete admission
  - Tests and student's excellence
  - Culture of compliance
  - Data management

Questions?
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