Scenario:

• University faculty has a connecting flight at an airport not on her travel itinerary....

MYTH or Reality?

• The US is one of the most dangerous countries to enter
• Visitors to the US may choose other destinations instead of the US to avoid Border Search problems.
• Problems started in January, 2017
REALITY

Per CBP:
5 fold increase in US Border Electronic Media Searches from 2015 to 2016 .... from 4,764 in 2015 to 23,877 in 2016.

US Border is THE most dangerous .... except for everywhere else...

REALITY

CBP reported that in fiscal year 2012 the number of border device searches was 5,085.

In 2015 fiscal year, Customs and Border Protection searched the electronic devices of 8,503 international travelers. By fiscal year 2017, the number increased to 30,200

a six-fold increase in just five years

The US Border is THE most dangerous .... except for everywhere else...

Quick Case Studies

1. Steve the IT Professional visits China

2. Dr. Travel vacations in exotic places

3. Professor Engineer delivers lecture in Russia
Sixing “Steve” Liu

In the news... Sept. 26, 2012:

A federal jury in Newark found Steve Liu guilty on nine counts, including exporting defense-related data without a license, possessing stolen trade secrets and lying to federal agents.

The case began when he returned with his laptop to Newark Airport on his return from China.

University of Tennessee Professor Found Guilty on 18 Counts of Export Violations
Hot Zones
1. US Domestic
2. US Border Zone
3. International Border Crossings
4. Non-Embargoed Countries
5. Russia and China
6. Embargoed Countries

US Domestic
1. Constitutional Protections
2. Known rules/regulations
3. Encryption is critical
4. Limits on searches

What IS the US Border?
1. Constitutional limits
2. 100 miles off border AND Ports of Entry including Airports
US Border
1. US Domestic
2. US Border Zone
3. International Border Crossings
4. Non-Embargoed Countries
5. Embargoed Countries

Hot Zone or Friendly?
Great Britain
Australia
Canada

International Travel:
The Data Breach Zone!
Hotel Room Incursions

Hypothetical Question...
Who knows you best?
Best friend?
Significant other?

What if... there was someone else?
Someone who knows...
all details of your physical and mental health....

...knows your politics

... knows your thought process...
...has full access to all your files...

...knows your finances...

...knows your online accounts...
...knows your passwords...

...knows who you communicate with...

...knows your search history...
...knows where you’ve been...

...knows your upcoming plans...

...knows intimate secrets...
has access to your images...

...logs all of your ONLINE activities

...fits in your hand and travels everywhere with you...
...knows EVERYTHING about you AND holds ALL your data....

...and will generously share all your secrets when properly asked!

I.T. Security prepares for...
Instead of…

What time is it when you arrive?

Time to Harvest the Data

How you look to border agents.
Just a mobile device?

Or something more?

How do you define Data Breach?

7 Things can happen....6 of them are bad!*
1. Import violation at the destination
2. Unlicensed export
3. Return with malware
4. Data breach (PII, PHI, PCI, CUI, Assets....)
5. Loss of credentials
6. Theft of device
7. Make use of the device

*There are more than 6!
“It’s OK…the data is encrypted ….right?”
Border Search Exception to the 4th Amend.

Searches conducted at the United States border or the equivalent of the border (such as an international airport) may be conducted without a warrant or probable cause subject to the "border-search" exception.

Laptop Rule:
The U.S. Courts of Appeals for the Fourth and Ninth circuits have ruled that information on a traveler’s electronic materials, including personal files on a laptop computer, may be searched at random, without suspicion.

(US v. Ickes, 393 F.3d 501 (4th Cir., 2005) & US v. Arnold, 523 F.3d 941 (9th Cir. 2008))

The Courts...

“Every day more than a million people cross American borders, from the physical borders with Mexico and Canada to functional borders at airports such as Los Angeles (LAX), Honolulu (HNL), New York (JFK, LGA), and Chicago (ORD, MDW). As denizens of a digital world, they carry with them laptop computers, iPhones, iPads, Kindles, Nooks, Surfaces, tablets, Blackberries, cell phones, digital cameras, and more. These devices often contain private and sensitive information ranging from personal, financial, and medical data to corporate trade secrets.”
Continued...

"The amount of private information carried by international travelers was traditionally circumscribed by the size of the traveler’s luggage or automobile. That is no longer the case. Electronic devices are capable of storing warehouses full of information. The average 400-gigabyte laptop hard drive can store over 200 million pages — the equivalent of five floors of a typical academic library. Even a car full of packed suitcases with sensitive documents cannot hold a candle to the sheer, and ever-increasing, capacity of digital storage."

UNITED STATES V. COTTERMAN
9th CT OF APP CA 6th circuit opinion filed March 8, 2013

Value based decisions
What IS on your device?

International Borders:
Different Rules
Risk assessment: Country specific decisions

Travellers are exporters

Your travel activities may legally constitute an export.

Hand-carry travel items such as your laptop, smart phone, and software are subject to export controls and many other regulations.

Reality Check:

Exports may require a license

Taking certain items outside the US “may” require a license, for example:

- Controlled technology
- Controlled hardware
- Data, technology
- Blueprints, schematics
### Every export is also an IMPORT

Countries with encryption import and use restrictions:

- Burma (you must apply for a license)
- Belarus (import and export of cryptography is restricted; you must apply for a license from the Ministry of Foreign Affairs or the State Centre for Information Security or the State Security Agency before entry)
- China (you must apply for a permit from the Beijing Office of State Encryption Administrative Bureau)
- Hungary (import controls)
- Iran (strict domestic controls)
- Israel (per personal - must present the password when requested to prove the encrypted data)
- Morocco (stringent import, export and domestic controls enacted)
- Russia (you must apply for a license)
- Saudi Arabia (encryption is generally banned)
- Tunisia (import of cryptography is restricted)
- Ukraine (stringent import, export and domestic controls)

### A few of the interested agencies...

<table>
<thead>
<tr>
<th>Agency</th>
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<tbody>
<tr>
<td>Federal Bureau of Investigation</td>
</tr>
<tr>
<td>Immigration &amp; Customs Enforcement</td>
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<tr>
<td>Department of Commerce</td>
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<tr>
<td>Air Force Office of Special Investigations</td>
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<tr>
<td>Defense Criminal Investigative Services</td>
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<tr>
<td>Naval Criminal Investigative Services</td>
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<tr>
<td>Alcohol, Tobacco &amp; Firearms</td>
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<tr>
<td>United States Secret Service</td>
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<tr>
<td>Customs &amp; Border Protection</td>
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<tr>
<td>Drug Enforcement Agency</td>
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<tr>
<td>Intelligence Agencies (CIA, DIA, NIS, etc.)</td>
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<tr>
<td>Army Criminal Investigation Command</td>
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<tr>
<td>Others too</td>
</tr>
</tbody>
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### Technology factors

Difference between Commercial Off the Shelf Software (COTS) and proprietary or unreleased software:

- Unpublished Research Data if not covered under the FRE

Adjusted Peak Performance (APP)

- Hardware: Specialty laptops and equipment may require a license
  - Radiation hardened or protected from extreme elements
  - High performance computers
- Software and Encryption: may need a license
  - Encryption software with symmetric key length of 64 bits or longer
  - Controlled software
  - Military support applications

Export-controlled technical data

- Best to back-up on a secure system and remove from laptop prior to travel
Encryption Fails at the border:

- Forced decryption/inspection and drive backup
  - PCI/PHI
  - 3rd Party NDA/CDA
  - Private Emails

Restrictions against importing encryption into foreign country
License required for export from U.S. for certain high powered encryption/cryptography

And...

Controlled technology taken out of the country while encrypted, is STILL controlled!

Do you know the applicable controls of the technology you are travelling with?

- Laptops, iPhones, Blackberries: 5A992
- Open source software (Linux, Apache): 5D002

What’s in your laptop?

- PCI
- PHI
- PII
- 800-171 CUI
- 3rd Party NDA/CDA
- Private Emails

Controlled technology taken out of the country while encrypted, is STILL controlled!
Executive Travel Best Practices may include...

1. Clean devices be provided (fresh install – or at least completely wiped of all existing accounts/passwords, email, documents, etc.
2. Set up a temporary email account for each trip and connect that email account to the devices.
3. Intermediary role to filter regular email and send only as necessary to the temporary email account.
4. Avoid accessing regular email account(s) from these devices while travelling in certain countries – using only the temporary account.
5. On return devices should be wiped and reconfigured before being redeployed; temporary email accounts closed and deleted.

Old Best Practices

- Consider backing up your data and leave a copy of your files in a safe and secure location such as your office or a departmental/shared drive. Don’t rely on the only copy of data you can’t afford to lose.
- Don’t store data you don’t want others to see: medical records, data files from your research, financial information, personal information, photos, etc.
- Have a “Plan B” for data, data you may need when you reach your destination.
- Password-protect, encrypt (if allowed) or remove all student, personal, and proprietary information stored on your laptop.
- Ensure that your operating system has a strong password or passphrase when it boots up.
- Turn off file-sharing and print sharing.
- Make sure your operating system’s security patches are up to date and your firewall is turned on.
- Ensure that anti-malware, anti-spyware, and personal firewall software is installed on your laptop.
- Use secure VPN for secure remote access.
- Consider purchasing a tracking application for your laptop in case it is lost or stolen.

Steps to Review

Classify the technology or goods involved (ITAR, EAR, OFAC, other?)

Determine if license is needed for the technology/end user/end use

Determine if license exception is available

Document the use of the exception
Steps to Review

If you must travel to one of the five embargoed countries, you may be able to obtain the appropriate export license, but the process can take, on average, a ninety days for review.

The Department of Commerce’s Bureau of Industry and Security and the Office of Foreign Assets Control (OFAC) within Dept. of Treasury accept applications for licenses to export encryption products and technologies.

Predicting the future...

What is NOW the minimum needed for international travel?
Former best practices?

Exercise reasonable care when hand-carrying a laptop computer to a foreign country

The laptop:
• MUST remain in reasonable control of the person(s) responsible for it at all times
• MUST not be used by anyone in the foreign country
• MUST not be left behind (upon your return), given away, or out of the US more than 1 year.

Consider taking a minimal “Wiped” device

Plan ahead…

Million dollar question: Is the data on your device worth more than the device itself?
Ask First

Am I carrying any information or data which is proprietary or under a non-disclosure agreement?

What are the consequences if this information were compromised?

Is the information controlled in any way including PHI, PII, PCI, or CUI?

Ask First (continued)

Is a license required for taking this information out of the country?

Do I know the rules for entering my destination country as well as planned and potential layover countries?

Before Traveling with Your Laptop...

Consider backing up your data and keep a copy of your files in a safe and secure location such as your office or a departmental shared drive. Don't carry the only copy of data you can't afford to lose.

Don't carry data you don't want others to see: medical records, data files from your research, financial information, photos, etc.

Have a "Plan B" if there is data you will need when you reach your destination.

Password-protect, encrypt if allowed, or remove all student, personal, and proprietary information stored on your laptop.

Ensure that your operating system has a strong password or passphrase when it boots up.

Turn off file-sharing and print-sharing.

Make sure your system's security patches are up to date and your firewall is turned on.

Ensure that anti-virus, anti-spyware, and personal firewall software is installed on your laptop.

Use secure WiFi for secure remote access.

Consider purchasing a tracking application for your laptop in case it is lost or stolen.
Reality Check
Exporting is a privilege—not a right
Every situation is unique
Ignorance is not a defense
Seek expert advice BEFORE you Travel!

No one size fits all solution

Plan ahead. Others are.
Questions?

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