

Counseling Compliance in Small to Medium Sized Organizations

Society for Corporate Compliance and Ethics • Compliance and Ethics Institute
National Harbor, MD • Wednesday, September 18, 2019 • Workshop W10

Greetings! While you're waiting, take 5 minutes to write your thoughts about the S-W-O-T analysis of SMB compliance. And feel free to use this for your notes during the workshop.

<ul style="list-style-type: none">• Line of sight to/from leadership• Lower expectations••	<ul style="list-style-type: none">• Cult of the Founder• Headcount for a CECSO?••
STRENGTHS	WEAKNESSES
OPPORTUNITIES <ul style="list-style-type: none">• Personal leadership• Competitive differentiation• Operational excellence••	THREATS <ul style="list-style-type: none">• Single point of failure• Limited resources (perceived or actual)• Skewed incentives•



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