

Embracing Digital Transformation in Ethics & Compliance

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Dell Technologies
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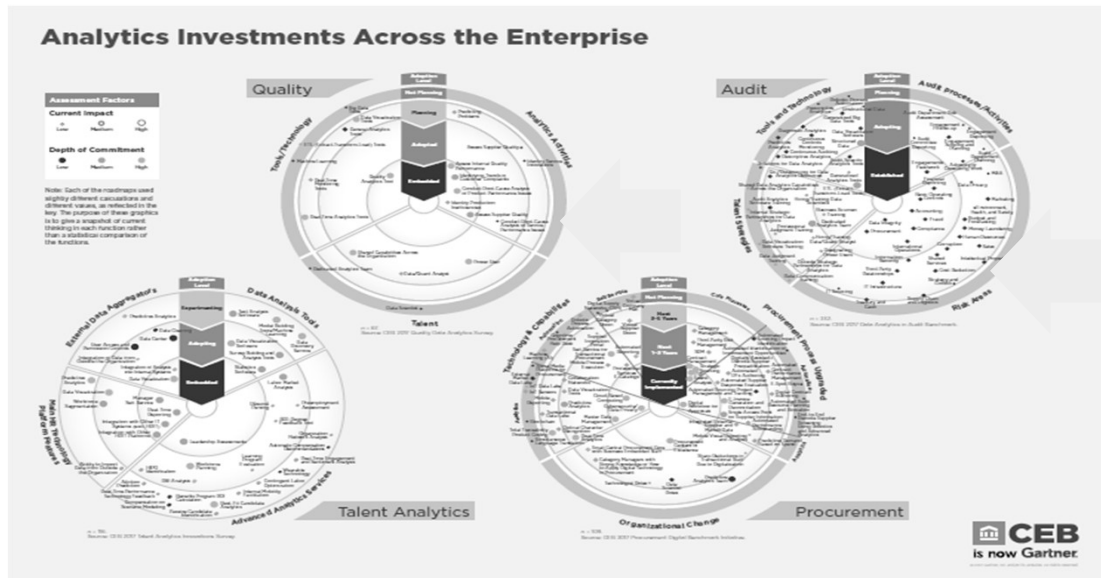
1

What is the digital transformation maturity level within your organization?

- A. Planning
- B. Early State Adoption
- C. Embedded
- D. None

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Maturity Level By Function for Data Analytics

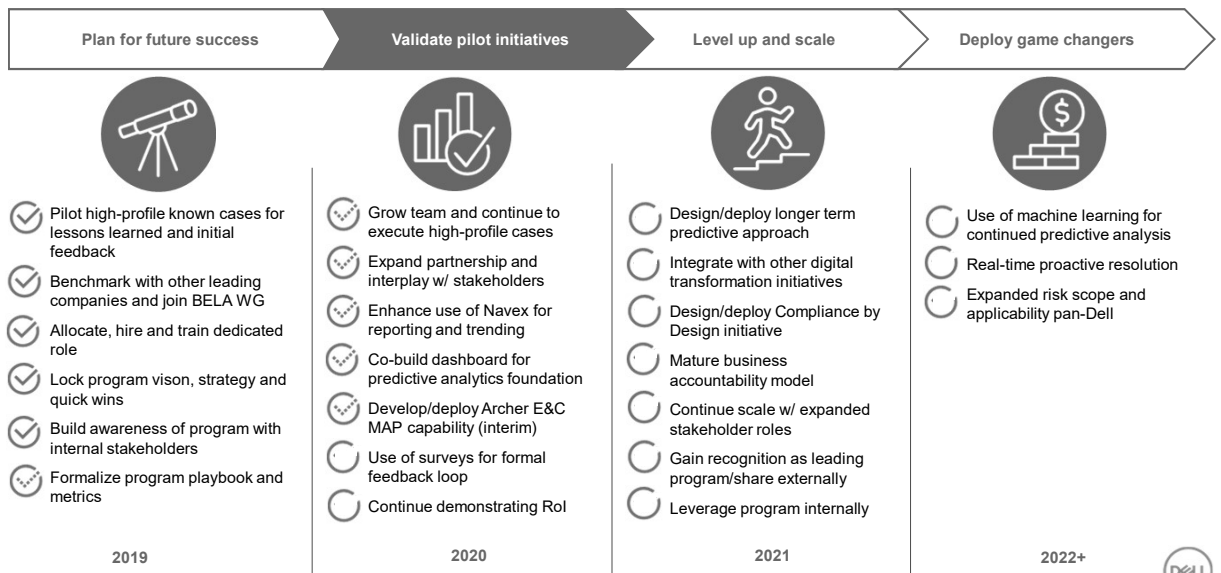


3 Source: Gartner CEB Journal of Finance | Risk | Strategy



3

Dell Technologies' Multi-Year Digital Transformation Journey



4







4

Which technology is your organization primarily focused on deploying?

- A. Robotic Process Automation
- B. Machine Learning and AI
- C. Data Analytics & Visualization
- D. All the above

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Ethics & Compliance Digital Transformation Focus Areas

Focus Area				
Solution	<p>Real-time Awareness & Controls</p> <p>Build and deploy real-time digestible awareness and application of controls based on risk profiles and business processes.</p> <ul style="list-style-type: none"> • Digital Studio • WalkMe™ • Digital Twin • simpleshow™ • Competition Cases • Mobile-based (internal & client) 	<p>Intelligent Process Automation</p> <p>Eliminate lower risk and repetitive tasks with maximized use of technology (e.g. RPA, NLP, ML).</p> <ul style="list-style-type: none"> • Brainspace™ • Triage Bot • Discipline Decision Matrix • Investigations Dashboard • Automated Fraud Detection 	<p>Service Delivery Enhancement</p> <p>Optimize client-service delivery models with greater emphasis on technology and mobility.</p> <ul style="list-style-type: none"> • Digital Advisor • NAVEX Global® Mobile Helpline • Inquiry Self-Service Model • Subpoena Process • E&C Tools & Processes 	<p>Proactive Risk Management</p> <p>Proactively identify and root cause key matters, assess impact of new legislation and use data analytics to manage risk.</p> <ul style="list-style-type: none"> • RSA Archer® Ethics Remediation • RSA Archer® Risk Assessment • Digital Partner • Digital Deal • G&H and A/P Analytics • Anti-Retaliation

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6

What level of investment is your leadership planning to allocate to digital transformation over the next 1-5 years?

- A. \$0 - \$1M
- B. \$1M - \$5M
- C. \$5M - \$10M
- D. >\$10M

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Double-Click: G&H Digital Advisor

Planning Adoption **Embedded**

Dell Digital Advisor for Gifts and Hospitality

This tool will help guide you to make the best decisions that are aligned with the [Dell Global Gifts & Hospitality Policy](#).

This tool does not supersede guidance provided by Global Ethics and Compliance or Legal Counsel. The selections made by you while using this tool are not tracked.

[Next](#)



I am GIVING




I am RECEIVING

Click on the image to learn more about either giving or receiving

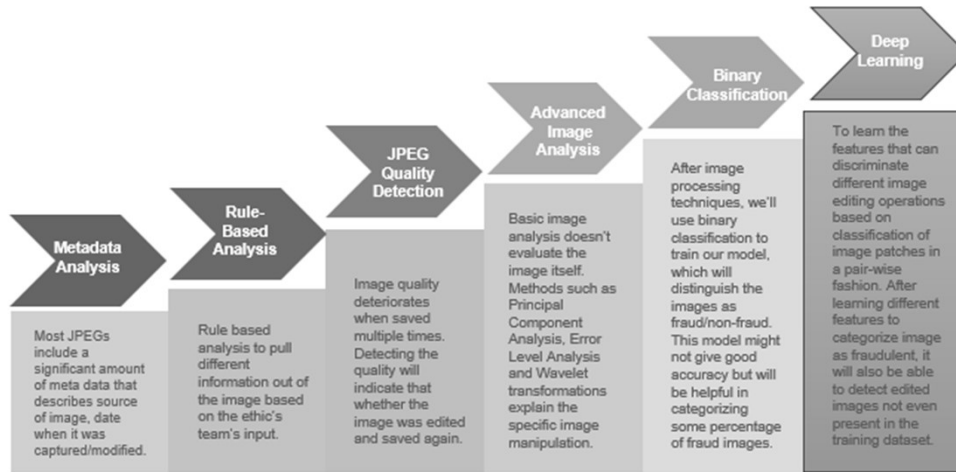
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Rol: 57% reduction QoQ



8

Double-Click: Automated Fraud Detection



9



9

Double-Click: Digital Partner



Assess

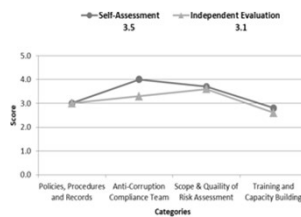
Measured capability assessment against 4 core essential elements.



- Measured against leading practices
- Based on international guidance
- Rated maturity on a scale from 1 to 5

Verify

Independent expert analysis and established baseline for improvement.



- Review documents and assessment
- Conduct virtual interview
- Provide a verified score and benchmarking

Improve

In-language roadmap for success and support to maturity goal.



- Translations
 - Experts
 - Templates
 - References
 - Insights
 - Check-Lists
- Recommendations and improvement plan
 - Link to resources and templates
 - Follow-up calls and virtual assistance

10 Joint effort with CREATE.org



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