

**ADVANCED
DISCUSSION GROUP**



**HOT TOPICS IN EMPLOYEE
REPORTING: IMPLEMENTING
AND MAINTAINING A
SUCCESSFUL EMPLOYEE
HOTLINE PROGRAM**

17TH Annual
Compliance
& Ethics
Institute

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Las Vegas, Nevada

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THIS SESSION USES POLLING

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ADVANCED DISCUSSION GROUP

• Purpose:

- To bring compliance professionals from around the globe together for a professional exchange of ideas.
- Increase communication among the group participants.
- Learn from each other's knowledge and experience through group discussion.

What is your role?

- Chief Compliance Office or Chief Compliance and Ethics Officer
- Compliance Officer
- Compliance Manager
- Government
- Attorney
- Consultant
- Other

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What best describes your organization?

- Publicly Traded Company
- Privately Traded Company
- Non-Profit
- Trade or Professional Organization
- Consulting Firm
- Government Entity


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What best describes your organization?


- Internally Managed
- External Provider
- Other


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ALLEGATION REPORTING


 Telephone intake

 Web-based Reporting (website or app) risk management and assessment




 Text Messages

 Email

 WhatsApp or other messaging app

 Other

**GLOBAL DIFFERENCES:
TYPE OF PROGRAM? CULTURAL CHALLENGES?**

**ASSIGNMENTS AND INVESTIGATION OF
REPORTS (DISCUSSION)**

 How are reports assigned out?

 Who investigates reports?

 Are European reports handled differently?

 Biggest challenges?

 Best practices?

THANK YOU!