

ADVANCED DISCUSSION GROUP



HOT TOPICS IN EMPLOYEE REPORTING: IMPLEMENTING AND MAINTAINING A SUCCESSFUL EMPLOYEE HOTLINE PROGRAM

17TH Annual
Compliance
& Ethics
Institute

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ADVANCED DISCUSSION GROUP

- **Purpose:**
 - **To bring compliance professionals from around the globe together for a professional exchange of ideas.**
 - **Increase communication among the group participants.**
 - **Learn from each other's knowledge and experience through group discussion.**

What is your role?

Chief Compliance Office or Chief
Compliance and Ethics Officer

Compliance Officer

Compliance Manager

Government

Attorney

Consultant

Other

What best describes your organization?

- Publicly Traded Company
- Privately Traded Company
- Non-Profit
- Trade or Professional Organization
- Consulting Firm
- Government Entity







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What best describes your organization?

- Internally Managed
- External Provider
- Other

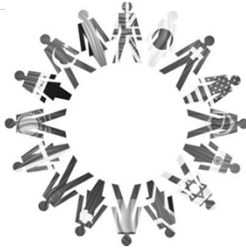
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ALLEGATION REPORTING

-  Telephone intake
-  Web-based Reporting (website or app) risk management and assessment
-  Text Messages
-  Email
-  WhatsApp or other messaging app
-  Other

GLOBAL DIFFERENCES: TYPE OF PROGRAM? CULTURAL CHALLENGES?

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ASSIGNMENTS AND INVESTIGATION OF REPORTS (DISCUSSION)

- How are reports assigned out?
- Who investigates reports?
- Are European reports handled differently?
- Biggest challenges?
- Best practices?

THANK YOU!

