

# ADVANCED DISCUSSION GROUP

## TOOLS FOR INCREASING PARTICIPATION IN TRAINING

Art Weiss, JD, CCEP-F, CCEP-I  
Chief Compliance and Ethics Officer  
TAMKO Building Products, Inc.  
Joplin, Missouri



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Annual  
Compliance  
& Ethics  
Institute

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### THIS SESSION USES POLLING

#### To participate in polling

Download "SCCE Mobile" in your app store. Then under the agenda find this session, scroll to the bottom and click "Poll Question" or go to [PollEv.com/scce](http://PollEv.com/scce) to answer the active poll.

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### ADVANCED DISCUSSION GROUP

#### OUR PURPOSE IS

- to bring compliance professionals from around the globe together for a professional exchange of ideas.
- to increase communication among the group participants.
- to learn from each other's knowledge and experience through group discussion.

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**THE TOPIC OF THIS ADVANCED DISCUSSION GROUP IS:**

Getting the most from your bribery dollars

Tools for enhancing employee participation in training

How to get more social media 'friend' requests

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**ARE YOU IN THE RIGHT PLACE?**

Yes

No

I have no idea

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**WHO ARE YOU?**

Chief Compliance Officer

Compliance Officer

Compliance Manager

Compliance Auditor

Government

Attorney

Consultant

Other

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**WHAT IS YOUR ROLE IN YOUR ORGANIZATION?**

Compliance

Human Resources

Auditor

Investigator

Attorney

Consultant

Other

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**WHO DO YOU REPORT TO?**

Board

CEO/President

Compliance Officer

Legal

Risk Management

Finance

Human Resources

Other

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**THE REQUIREMENT**

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**“The organization shall take reasonable steps to communicate periodically ... its standards and procedures, and other aspects of the compliance and ethics program, to [all personnel] by conducting effective training programs ... appropriate to such individuals' respective roles and responsibilities.”**

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**WHERE IS THAT FROM?**

U.S. Federal Sentencing Guidelines

SCCE Code of Ethics

The Bible

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**IS THERE A DIFFERENCE BETWEEN TRAINING AND EDUCATION?**

Yes

No

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**WHAT FORMAT DOES YOUR ORGANIZATION USE FOR ITS TRAINING/EDUCATION?**

Live

Video

Computer Based Learning Management System

Combination

None...we already know everything we need to know

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**DO YOUR INCORPORATE**

Role Playing?

Lectures?

Polling Devices?

Scenarios?

Games i.e. Jeopardy,  
Newlywed Game

Contests?

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**DO YOU INVOLVE MANAGERS/SUPERVISORS?**

Yes, they introduce the topic  
with a few words of support

Yes, they participate

No, they are too busy

No, they don't understand  
the topic

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**ATTENDANCE IS THE SAME AS PARTICIPATION**

Of course, they  
completed the training.

Completed attendance  
sheets in their HR files  
are all we need.

No, attendance sheets  
prove nothing!

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**YOU'RE HERE TODAY. DID YOU PARTICIPATE?**

Yes, I like playing with the polling device.

Yes, I spoke up.

No, I'm just here to listen.

No, I slept through the whole thing.

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