Combatting Sexual Misconduct in the Workplace

Objectives

• How to better understand the extent and effects of sexual misconduct.
• How to raise awareness about what constitutes misconduct and how to prevent it.
• How to get your C-suite to champion your efforts.

Introductions

J Rollins, CEO & Founder ETHIX360
Stephanie Jenkins, CCO ETHIX360
12,428
Number of sexual harassment charges in FY2017

<table>
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<tr>
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</thead>
<tbody>
<tr>
<td>Receipts</td>
<td>12,695</td>
<td>12,461</td>
<td>12,569</td>
<td>12,379</td>
<td>12,146</td>
<td>12,573</td>
<td>12,860</td>
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</table>

$698.7MM
The amount paid out to employees alleging harassment through the Commission's pre-litigation process alone.
What Is Sexual Misconduct

“A broad term encompassing any unwelcome behavior of a sexual nature that is committed without consent or by force, intimidation, coercion, or manipulation.”

What Do You Think Sexual Harassment Is?

Top 10 as Viewed by Men and Women

<table>
<thead>
<tr>
<th>Behavior</th>
<th>Women</th>
<th>Men</th>
</tr>
</thead>
<tbody>
<tr>
<td>Touching or groping</td>
<td>93%</td>
<td>95%</td>
</tr>
<tr>
<td>Being forced to do something sexual</td>
<td>93%</td>
<td>95%</td>
</tr>
<tr>
<td>Someone touching themselves intentionally / masturbation</td>
<td>93%</td>
<td>75%</td>
</tr>
<tr>
<td>Having someone flash or expose themselves to you</td>
<td>93%</td>
<td>75%</td>
</tr>
<tr>
<td>Making sexual comments about looks / body</td>
<td>91%</td>
<td>70%</td>
</tr>
<tr>
<td>Sharing intimate photos of someone without their permission</td>
<td>85%</td>
<td>77%</td>
</tr>
<tr>
<td>Being shown sexy or sexual pictures you didn’t want to see</td>
<td>84%</td>
<td>77%</td>
</tr>
<tr>
<td>Sexually explicit emails or texts</td>
<td>83%</td>
<td>68%</td>
</tr>
<tr>
<td>Pushing or poking</td>
<td>77%</td>
<td>68%</td>
</tr>
<tr>
<td>Pushing Against You in a Public Transport</td>
<td>78%</td>
<td>52%</td>
</tr>
</tbody>
</table>

What Was the Nature of Your Sexual Harassment?

Adults Who Have Been, Have Witnessed or Know Someone Who Has

- Online / Social Media
- Physical
- Verbal

Source: https://www.barna.com/research/behaviors-americans-count-as-harassment/
Coming Forward About Sexual Harassment
Did You, or They, Report the Sexual Harassment?

<table>
<thead>
<tr>
<th>Option</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>10%</td>
</tr>
<tr>
<td>No</td>
<td>60%</td>
</tr>
<tr>
<td>Don't Know</td>
<td>30%</td>
</tr>
</tbody>
</table>

Coming Forward About Sexual Harassment
Why Don't People Report Sexual Harassment Claims?

<table>
<thead>
<tr>
<th>Reason</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Pressure To Don't Report</td>
<td>5%</td>
</tr>
<tr>
<td>Fear of Public or Social Disruption</td>
<td>10%</td>
</tr>
<tr>
<td>Not Sure It Qualified As Sexual Harassment</td>
<td>15%</td>
</tr>
<tr>
<td>Lack of Confidence In The System</td>
<td>20%</td>
</tr>
<tr>
<td>Fear of Retaliation By The Offender</td>
<td>25%</td>
</tr>
<tr>
<td>Fear of Not Being Believed</td>
<td>30%</td>
</tr>
<tr>
<td>Lack of Trust in The System</td>
<td>40%</td>
</tr>
</tbody>
</table>

THE DIRECT & INDIRECT COST OF SEXUAL MISCONDUCT CAN CAUSE SERIOUS DAMAGE TO YOUR EMPLOYEES AND YOUR ORGANIZATION
Just one sexual harassment claim can tarnish a company’s image and destroy employee moral and corporate culture.

The EECO describes a respectful workplace as:

“one where all employees are treated fairly, difference is acknowledged and valued, communication is open and civil, conflict is addressed early and there is a culture of empowerment and cooperation.”

Culture Is Your Best Defense Against Sexual Misconduct
Applying Pressure to Sexual Misconduct

How do you combat something undefined?

4 Pillars of Pressure

**DEFINE**
Clear Policies & Expectations

**EDUCATE**
Reinforce the organization's standards of conduct

**COMMUNICATE**
Essential tool in achieving productivity and maintaining healthy corporate culture

**ENFORCE**
Maintain a culture that is fair, transparent, unbiased and does not tolerate retaliation

Define

"The beginning of wisdom is the definition of terms," Plato

Policy is the intersection of regulation and behavior

Using policy to drive corporate climate and culture

Review policy to keep it relevant

Leveraging data to measure policy effectiveness

Understanding trending and benchmarks
7 Elements of a Sexual Misconduct Policy

- Clear Definition
- Expectations for Tolerance
- Duty to Report
- Bystander Expectations
- Retaliation
- Complaint procedure
- Confidentiality

Educate

- Effective training cannot occur in a vacuum; it must be part of a holistic culture of:
  - Equality
  - Respect
  - Acceptance
  - Empowering the Bystander
  - Encourage Civility
  - Train Seriously and Often

7 Elements of Good Harassment Training

- New Hire & Annually
- Interactive & Engaging
- Provide Realistic Examples
- Go beyond laws & rules
- How does it affect the person
- Recognize Confusion
- Include bystander awareness programs
Communicate

The role of your compliance program’s communication efforts are to influence your stakeholders’ behavior and to help create a bond with them.

• Make it part of E&C Marketing/Communication Strategy
• Make them Relevant and Real so they Resonate!
• Management reinforcement (and not just the CEO annual letter)
• Give management the tools to talk about it
• Take the pulse of your employees
• Monitor social media channels

Six Key Communication Elements

Tone At The Top

The importance of leadership cannot be overstated — effective harassment prevention efforts, and workplace culture in which harassment is not tolerated, must start with and involve the highest level of management of the company
To create an organizational culture in which employees believe that the organization will not tolerate harassment, managers, and supervisors must receive clear messages of accountability and be given the resources on how to respond to different levels and types of offensive behavior, and clear instructions on how to report harassing behavior up the chain of command.

Manager’s Resources Library Ideas

- Guide for speaking about sexual misconduct
- Weekly or monthly talking points (video/written)
- Procedure/form for recording employees concerns
- Consensual Relationship Agreement Form
- FAQ Document
- Where to go for guidance

Enforce

FAIR, CONSISTENT & UNBIASED AS POSSIBLE

Consistent application and enforcement is the responsibility of compliance, human resources, supervisors and managers. The consequences of applying workplace policies inconsistently can jeopardize business success. Companies that pick and choose which policies to enforce when are setting themselves up for failure.
How to Effectively Enforce

- Creating workplace discipline policies
- Define the purpose of the policy
- Ensure rules are clearly stated, fair, and accurate in the Employee Handbook
- Ensure that expectations and consequences are clearly stated
- Classifying misconduct
- Misconduct or Serious misconduct
- Determine type of discipline to be used

Real People Real Lives - Scenario

19 year old single mom tells Human Resources during her in person exit interview that she is leaving the company because she found a job closer to home that pays better. However this was not the truth. The young mom was selected to participate in an anonymous 3rd party exit interview and it was uncovered that her married manager was pressuring her to date him. And the reality was she left for a job that paid less and was further from home, but like many she didn’t speak up for fear of retaliation and not getting a good reference for her new job.

Real People Real Lives - Scenario

A female employee goes to her supervisor about another male employee who continues to make lewd jokes and suggestive comments about her appearance. “I would sure like to see you wearing a bikini behind that desk, then I would…” type comments. Her manager tells her, “Oh, that’s just Derek you have to just realize that in his culture, he is flirting with you.”
219 cases have, politicians, CEOs, and
celebrities have been accused of
sexual misconduct since April 2017.

Thank You

Stephanie Jenkins
Chief Compliance Officer
ETHIX360 Inc.
704-299-1788
stephanie@ethix360.com

J Rollins
Founder, Chief Executive Officer
ETHIX360 Inc.
202-445-1850
j@ethix360.com