Examples of Current Uses of Technology in Compliance/Risk Program

1. **Compliance Management**
   1.1. Automated email alerts for key filing deadlines or other compliance events (e.g., Outlook, SharePoint, internally developed tool)
   1.2. Automated survey tools for questionnaires, surveys, knowledge checks, assessments, etc. (e.g., SurveyMonkey, Allegiance Engage). Can add training content to support/reinforce the topic.
   1.3. Automated reporting tools to track/monitor outside activities, gifts and gratuities, conflicts of interest, interactions with consultants, trade association attendance, etc.

2. **Policy Management**
   2.1. Numerous GRC policy management tools (e.g.,)
   2.2. Leverage SharePoint to create a policy repository with policy governance/management policies

3. **Training & Communications**
   3.1. Learning Management Systems (in-house or third party hosted)
   3.2. Training development tools (e.g., Prezi, Activate, Captivate) permitting easy conversion of PowerPoint decks into interactive training
   3.3. User-friendly video capture software to permit easy development of training videos (e.g., Brainshark)
   3.4. Adding anticorruption/FCPA message to the Travel Office’s automatic email they send to all employees booking international travel
   3.5. Collaborating with IT to include a compliance reminder as the auto-screensaver (e.g., reminder to take training, review a policy, or complete a process)
   3.6. Social networking tools to reach out to more employees and facilitate broader in-house discussion of issues (e.g., Yammer)

4. **Monitoring & Auditing**
   4.1. Helplines/hotlines (e.g., The Network, EthicsPoint, Ethical Advantage)
   4.2. Third Party Due Diligence tools (e.g., TRAC by TRACE International, Hiperion, The Red Flag Group, WorldCheck)
   4.3. See Compliance Management items above