

2017 ANNUAL REPORT



SCCE[™]
Society of Corporate
Compliance and Ethics



HCCA[™]
Health Care Compliance
Association

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Gerard Zack, SCCE & HCCA

SCCE & HCCA General Counsel

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Serving the compliance and ethics profession by providing networking, education, and certification opportunities.





Letter from the **PRESIDENT**

What an exciting year! It is remarkable how much a dedicated community of compliance professionals and those who support our compliance programs do in service to our patients, customers, employees, organizations, and communities. The work is not easy. Keeping track of the changing regulatory environment, keeping our compliance programs fresh and vital, assessing risks and vulnerabilities, developing programs to address significant risks, ensuring every employee has the tools necessary to be successful, and working with our partners and key stakeholders to ensure we have programs that will effectively prevent and detect non-compliance are but a few of our vital contributions. I am so proud of SCCE & HCCA for the tireless work done this year to understand the needs of our members and respond to unmet needs. Whether it be through the benefits of membership, the national or regional conferences, certification, or publishing, SCCE & HCCA offers the programs and services necessary for our profession to grow and our membership to succeed.

We are now poised to move SCCE & HCCA into the future. With a thoughtful transition to new leadership while maintaining our proud history, the continued growth in our core services, and the expansion of our publishing efforts, we are well positioned to serve our membership and the community.

As you look through this Annual Report, I think you will be as impressed as I am. A special thank-you to the hard working SCCE & HCCA staff and all of the individuals who volunteer to speak, write, and get involved in our remarkable Association.

Margaret Hambleton
SCCE & HCCA President

MEMBERSHIP

Founded in 1996, Health Care Compliance Association (HCCA) was created to fill a growing need for education and community for professionals working in the relatively new field of healthcare compliance. In 2004, we expanded our scope to form the Society of Corporate Compliance and Ethics (SCCE) to support compliance and ethics professionals across all industries.

After two decades, our mission to champion professionals who dedicate their careers to ethical practice and compliance remains the same. Our membership across both associations—over 18,000 strong in 2017—includes compliance officers, attorneys, and staff from a wide range of organizations. On the healthcare side, we support compliance professionals who work in hospitals, research facilities, clinical settings, technology service providers, and many other healthcare environments. And on the corporate side, our members represent just about every industry and sector, including energy, higher education, manufacturing, and government, to name but a few.

Compliance has truly become a global profession. As the world's largest organization devoted to compliance professionals, we've gone international. Today, we're proud to include members from 98 countries. As we look to the future, we're excited for our services and resources to continue to evolve in order to keep pace with this ever-changing field.

2017
18,223
Members

2016
17,345
Members

2015
15,986
Members

GLOBAL MEMBERSHIP

98 COUNTRIES



CONFERENCES

Our conferences are must-attend events, where compliance professionals know they'll get the latest on regulations, trends, and best practices. It's also where they meet their peers face-to-face to network and exchange ideas. Our goal is for every attendee to leave with a renewed sense of mission plus actionable steps they can take to improve compliance at their organization.

Highlights from 2017:

- HCCA's Compliance Institute is now the world's largest collective gathering of compliance professionals.
- SCCE's Compliance & Ethics Institute welcomed attendees from 37 countries.
- The number of regional conferences, which cater to professionals unable to attend our national or international institute, grew to 43, including our first ever international regional conferences.
- Overall, international academies and conferences grew by 33 percent and further expansion is planned for 2018.

2017 Conference Participants

2,948

**HCCA's
Compliance Institute**

March 26–29, 2017
National Harbor, MD

1,819

**SCCE's
Compliance & Ethics Institute**

October 15–17, 2017
Las Vegas, NV

471

**HCCA's
Healthcare Enforcement
Compliance Institute**

October 29–November 1, 2017
Washington, DC

262

**SCCE's
European Compliance
& Ethics Institute**

2–5 April, 2017
Prague, Czech Republic

Conference Participation

2017

15,632
total participants

87 domestic events

8 international events

43 regional conferences

2016

14,751
total participants

81 domestic events

6 international events

39 regional conferences



GLOBAL ENGAGEMENT



[facebook.com/
SCCE](https://facebook.com/SCCE)

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HCCA](https://facebook.com/HCCA)



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[instagram.com/
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[corporatecompliance.org/
scenet](https://corporatecompliance.org/scenet)



[hcca-info.org/
hccanet](https://hcca-info.org/hccanet)



[youtube.com/
compliancevideos](https://youtube.com/compliancevideos)



complianceandethics.org



[complianceandethics.org/
category/podcasts](https://complianceandethics.org/category/podcasts)



84,900+

people listened to the
Compliance Perspectives Podcasts

SCCE & HCCA keep the compliance community connected. We've dedicated resources to social media, audio and digital information so members can stay current on the latest developments in compliance and continue their education.

Highlights from 2017:

- Over 84,000 people learned about important compliance issues by listening to SCCE's Compliance Perspectives podcast, which produced 42 episodes.
- Compliance & Ethics blog received more than 232,000 visits.
- 8,800 people participated in 126 web conferences, enabling compliance professionals to keep learning without leaving their offices.
- Our online job boards offered companies a targeted way to reach qualified, dedicated compliance professionals.

COMMUNITY OUTREACH

It should come as no surprise that our members and conference participants are passionate about supporting the integrity of the communities that host our conferences. Each year, SCCE's Compliance & Ethics Institute and HCCA's Compliance Institute offer a volunteer service component during which attendees can donate their time to contribute to the well-being of local residents.

In 2017, the Compliance & Ethics Institute supported the work of Three Square Food Bank in Las Vegas, which serves more than 300,000 individuals throughout four counties. Our volunteers spent time packing bags of non-perishable food to supplement meals for local families.

At the 2017 Compliance Institute, volunteers gathered at the Gaylord Hotel in National Harbor, Maryland to assemble over 500 toiletry kits to benefit people with developmental disabilities. Kits were then distributed by Volunteers of America Chesapeake to clients who are experiencing homelessness, mental health, or substance abuse issues.

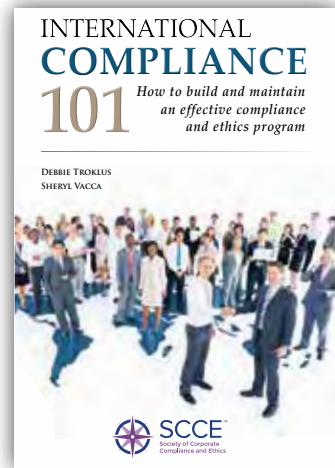
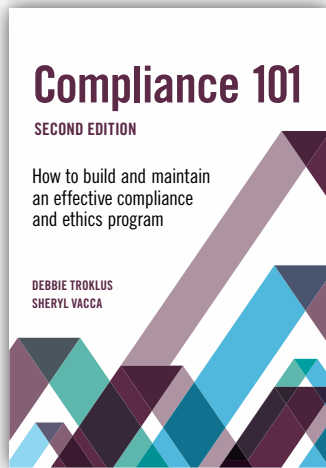
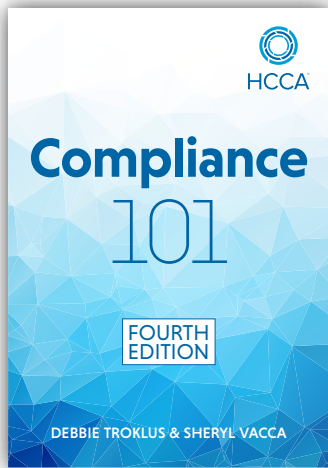


PUBLICATIONS PORTFOLIO

The Publications department experienced significant growth over the past year as it strove to meet the information needs of the ever-changing compliance and ethics profession. In 2017, the department took over the publication of three healthcare newsletters acquired by HCCA: *Report on Medicare Compliance* (weekly), *Report on Patient Privacy* (monthly), and *Report on Research Compliance* (monthly). Together with our monthly magazines, HCCA's *Compliance Today* and SCCE's *Compliance & Ethics Professional*, and semi-monthly *ethikos*, our publications continue to provide timely informative coverage of people and issues significant to the industry.

For a deeper dive on key issues, the department developed and published new and updated print and digital books. 2017 brought new annual editions of SCCE's *Complete Compliance and Ethics Manual* and HCCA's *Health Care Auditing & Monitoring Tools*, as well as e-book releases of SCCE's *Compliance 101* and *International Compliance 101*. In addition, the department continued to work in partnership with Wolters Kluwer to copublish quarterly updates for *The Health Care Compliance Professional's Manual*. As in most past years, the SCCE & HCCA bookstore saw a healthy increase in net sales revenues. This success has allowed the Publications department to continue helping compliance and ethics professionals stay ahead of the information curve.





CERTIFICATION



Since 1999, our Compliance Certification Board (CCB)[®] has worked to establish criteria to determine competence in the practice of compliance and ethics across industries, specialty areas, and sectors. Professionals who meet these standards can become certified through one of our many compliance certification programs.

Certification provides a national standard of requisite knowledge of compliance and often enhances a certificate holder's credibility within their organizations and among their peers. More importantly, it encourages compliance professionals to continue to grow in their practice and to stay current on the latest industry regulations, trends, and practices.

In 2017, more than 10,800 people actively held at least one of our six certifications. This includes international certification for those working outside the United States or for companies with international affiliates.

New in 2017

It is important to us that our certified individuals are able to use clear and simple logos to demonstrate their credential(s). For this reason, the CCB announced the redesign of its logo and each of its certification logos!

For those that are actively certified, these logos can be used in professional communications such as letterhead, stationery, business cards, in directory listings, or in their signature.





2017 TOTALS

CHC[™]
CERTIFIED IN HEALTHCARE
COMPLIANCE

5,864

CHPC[™]
CERTIFIED IN HEALTHCARE
PRIVACY COMPLIANCE

1,364

CHRC[™]
CERTIFIED IN HEALTHCARE
RESEARCH COMPLIANCE

524

CCEP[™]
CERTIFIED COMPLIANCE &
ETHICS PROFESSIONAL

3,445

CCEP-I[™]
CERTIFIED COMPLIANCE & ETHICS
PROFESSIONAL-INTERNATIONAL

1,065

10,800+

individuals actively holding one or more of the
Compliance Certification Board(CCB)[®] certifications

85+

countries with
actively certified individuals

9%

increase in actively certified
individuals over 2016

FINANCIAL STATEMENTS

Consolidated Statement of Financial Position December 31, 2017

ASSETS

CURRENT ASSETS

Cash and Cash Equivalents	\$1,281,136
Accounts Receivable	1,742,339
Inventories	49,403
Prepaid and Deferred Expenses	1,194,387

Total Current Assets 4,267,265

PROPERTY, EQUIPMENT AND TRADEMARKS 1,398,287

INVESTMENTS 21,226,911

GOODWILL 1,732,786

OTHER 188,799

TOTAL ASSETS \$28,814,048

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES

Accounts Payable and Accrued Expenses	\$1,410,672
Deferred Revenue	7,611,696

Total Current Liabilities 9,022,368

OTHER LIABILITIES 188,799

Total Liabilities 9,211,167

UNRESTRICTED NET ASSETS

Unrestricted Net Assets - Undesignated	\$19,444,654
Noncontrolling Interest in Subsidiary	158,227

Total Unrestricted Net Assets 19,602,881

TOTAL LIABILITIES AND NET ASSETS \$28,814,048

FINANCIAL STATEMENTS

Consolidated Statement of Activity Year Ended December 31, 2017 Change in Unrestricted Net Assets

REVENUES

Program Revenues	\$23,850,065
Investment Income	1,252,751
Other Income	107,432
Rent Income	57,976
Total Revenues	25,268,224

EXPENSES

Program Expenses	19,739,322
General and Administrative	3,156,311
Total Expenses	22,895,633

OPERATING CHANGE IN UNRESTRICTED NET ASSETS **2,372,591**

NONOPERATING - CAPITAL TRANSACTIONS

Contributed Capital - Noncontrolling Member	57,971
Distributions of Capital - Noncontrolling Member	(59,864)

Total Change in Net Assets 2,370,698

Total Net Assets - Beginning of Year 17,232,183

Total Net Assets - End of Year **\$19,602,881**

**Society of Corporate Compliance and Ethics
Health Care Compliance Association**

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corporatecompliance.org | hcca-info.org